INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

To Commissioner For Patents

Enclosed herewith is a Form PTO-1449, required copies of documents listed thereon, and a concise explanation of their relevance is described below or enclosed herewith per 37 CFR 1.97.

Application Number	
Filing Date	CONCURRENTLY
First Named Inventor	ANTONIO J. COLMENAREZ
Group Art Unit	
Examiner Name	
Attorney Docket Number	US010421

11000 U.S. PTO
09/988944
11/19/01

These documents may be relevant in that they have been:

☐ considered in drafting the specification of the above-referenced application;

☒ cited in the specification of the above-referenced application;

☐ previously submitted or cited in U.S. patent application(s) _____ which are relied on for an earlier effective filing date under 35 U.S.C. 120 (no copy required); or

☐ cited as an "X" or "Y" document in a foreign Patent Office search report on a foreign counter part application, a copy of which report is also enclosed;

☐ I hereby certify that these documents were first cited in any communication with a foreign Patent Office for a counterpart foreign application not more than three (3) months ago; document is append hereto.

☐ I hereby certify that not one of these documents was cited in any communication with a foreign Patent Office nor was any known to any individual designated in §1.56(c) more than three (3) months ago.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQURIED

Name (Print Type)	GREGORY L. THORNE	*Registration No. (Attorney/Agent)*	39,398
Signature		*Date*	11/19/01

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE	Atty. Docket No. US010421	Serial No.
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	Applicant ANTONIO J. COLMENAREZ	
	Filing Date CONCURRENTLY	Group

J1000 U.S. PTO 09/988944 11/19/01

U.S. PATENT DOCUMENTS

Ex. Int		Document Number	Date	Name	Class	Sub-class	Filing Date If Approp.
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-class	Trans.	
							Yes	No
	AG							
	AH							
	AI							
	AJ							
	AK							

OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)

	AL		A.J. Colmenarez, "Facial Analysis from Continuous Video with Application to Human-Computer Interface", Dept. of Electrical and Computer Engineering, Thesis of University of Illinois at Urbana-Champaign, 1999, Chapter 7
	AM		
	AN		

Examiner	Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M 609; Draw line through citation if not in conformance and not considere Include a copy of this form with next communication to applicant.